



Swarm Intelligence

Models and applications

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Eric Bonabeau, Marco Dorigo, Guy Théraulaz
Swarm Intelligence - Oxford University Press 1999




Telecom ParisTech – nov.-23 J-L. Dessalles

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2016-11-26 10.09.58

Nicolas Perony TED 2013 Puppies




Termites inspire Robot builders 1



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Swarm intelligence

- Examples
 - Ant colony: Shortest path



(a) (b)

ants_1 ants Robot ants - BBC

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- How do ants find the shorter path?
 - A. Because the time to go and return is shorter
 - B. Because the pheromon is less diluted

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Biological metaphors

- **Scientific objective: modelling**
- **Technical objective: new engineering methods**
- **Strong points of these metaphors:**
 - *decentralization*
 - *parallelism*
 - *flexibility, adaptivity*
 - *"robustness" (failures)*
 - *auto-organization*

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Biological metaphors

Brain	⇒	Neural networks
Evolution	⇒	Genetic Algorithms Fitness landscapes
Ants	⇒	Swarm Intelligence
Immune System	⇒	Protection of computers and networks

Collective decision without guidance

How does a collective make a decision among k options?

- A. Simple majority
- B. $n \times (n - 1) / 2$ discussions
- C. Binary tree bottom-up vote
- D. Winner-take-all
- E. Growing aggregates

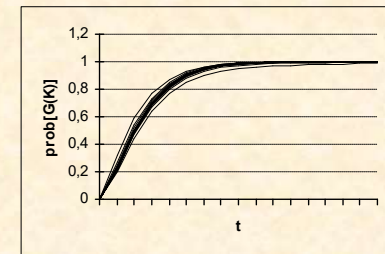
Swallows

$$\begin{aligned} \Pr[G(K+1)|G(K)] &= \frac{\Pr[G(K+1) \& G(K)]}{\Pr[G(K)]} \\ &= \frac{\Pr[G(K+1)]}{\Pr[G(K)]} \\ &= 1 - [1 - p_1(K)]^{N-K} \end{aligned}$$

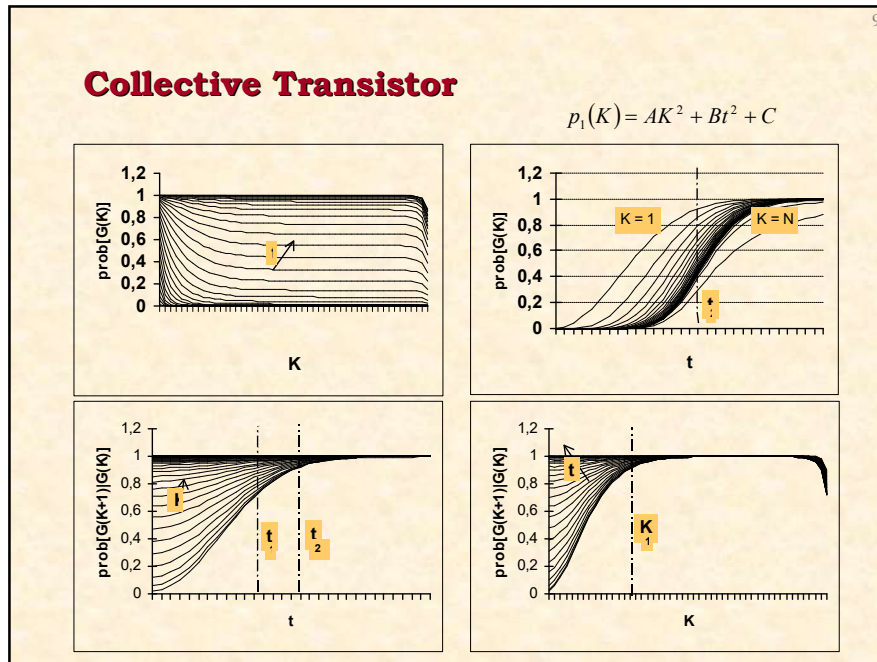
$G(K)$ probability for a group to reach at least size K at time t

$p_1(K)$ probability for a bird to join a group of size K at time t .

$$p_1(K) = AK + Bt + C$$



Swallows




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Kummer, H. (1997). *In quest of the sacred baboon: A scientist's journey.* Princeton University Press.

The slide contains a photograph of a dirt path with a group of baboons walking along it. Below this is the book cover for 'In Quest of the Sacred Baboon' by Hans Kummer and M. Ann Biederman-Thorson. The cover features a photograph of two baboons in a natural setting. The title and authors' names are printed on the cover.

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Social insects




The slide features four images of social insects. Top left: Two honeybees on a honeycomb. Top center: A vertical line of ants. Bottom left: A cluster of wasps on a nest. Bottom right: A single termite.

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- How do bees exploit a rich food spot?
 - They follow each other
 - They talk to each other
 - They fly to where other bees come from

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von Frisch, K. (1967).
The dance language and orientation of bees.
Harvard: Harvard University Press.

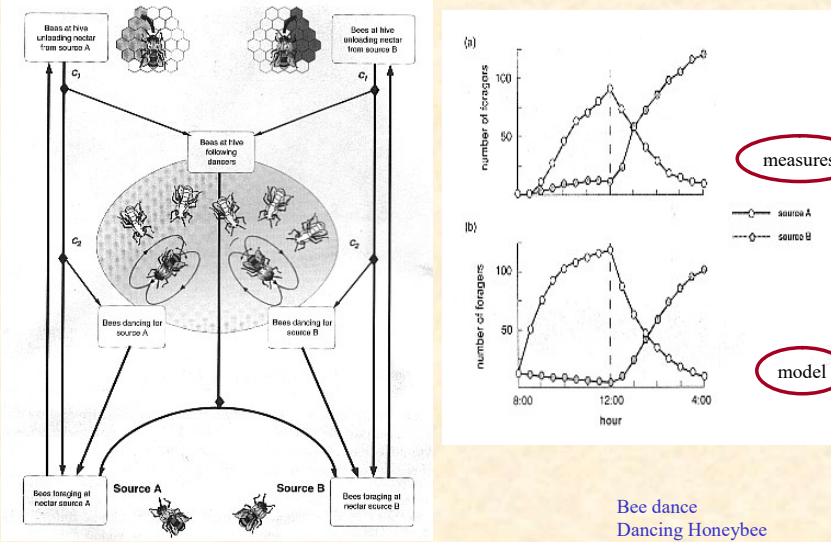
Kirchner, W. H. & Towne, W. F. (1994).
The sensory basis of the honeybee's dance language.
Scientific American, 6, 52-59.

Bee Dance (Waggle Dance)

Dancing Honeybee Using Vector Calculus to Communicate

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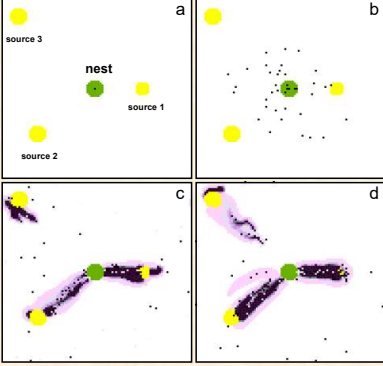
Amplification of fluctuations and "lock-in"




Bee dance
Dancing Honeybee
Dancing Honeybee link

Amplification et evaporation

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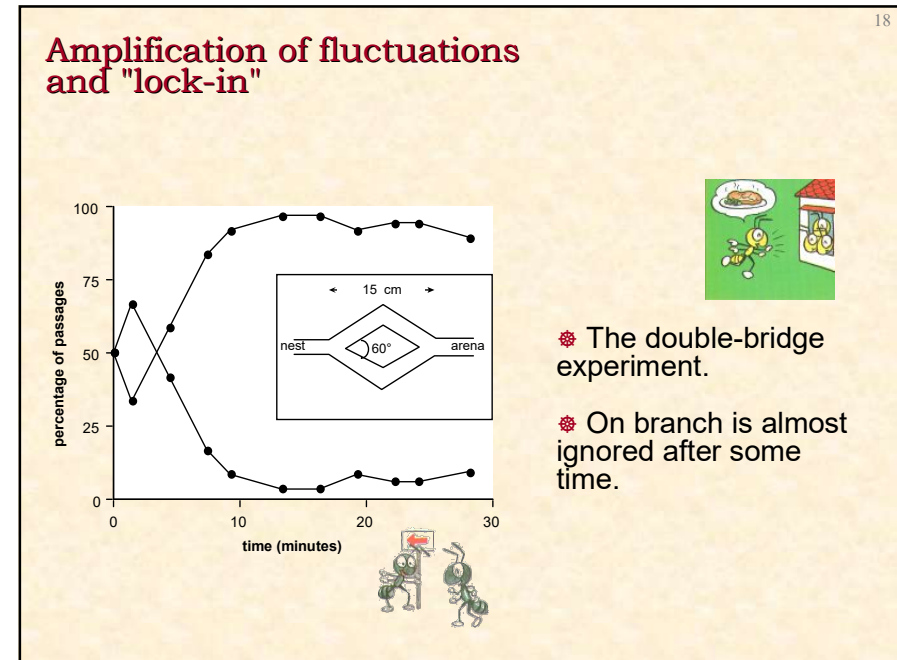
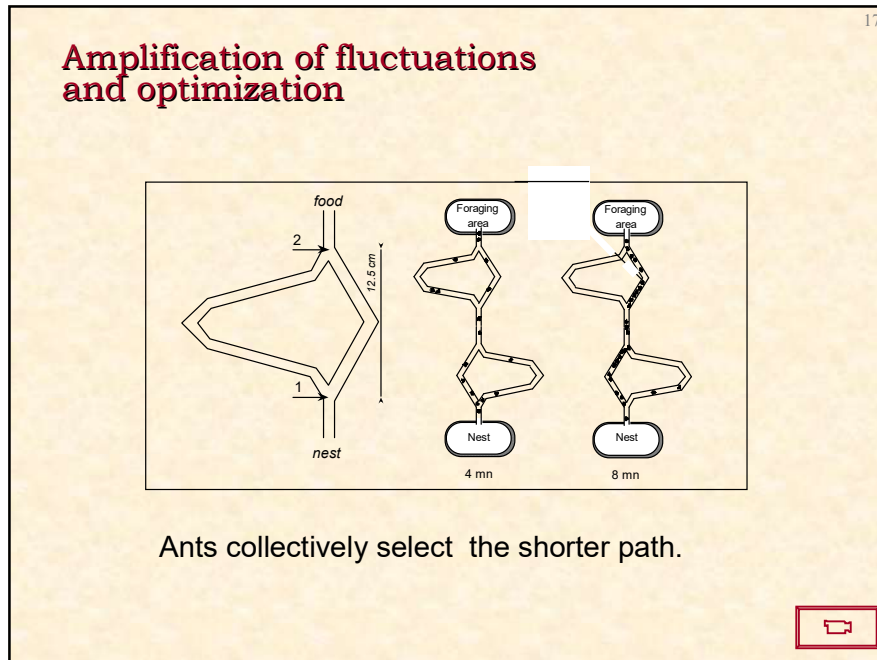
✿ The virtual colony exploits closest resources first.
 ✿ When closest food sources are exhausted, it starts to exploit farther sources.



Four ingredients of self-organization

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- ✿ Multiple interactions
- ✿ Randomness
- ✿ Positive Feedback
 - *Amplification of Fluctuations*
- ✿ Negative Feedback



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Double bridge: model

⊙ **Probability of choosing branch A**

$$P_A = \frac{(k + A_i)^n}{(k + A_i)^n + (k + B_i)^n} = 1 - P_B$$

i : number of ants crossing the bridge
A_i : number of ants having gone through branch A

Average over 200 simulations

Average over 20 30' 30' experiments

percentage of passages on winning branch

number of ant passages

p_A plot
cockroaches
Robot ants – BBC

$n \approx 2$ $k \approx 20$

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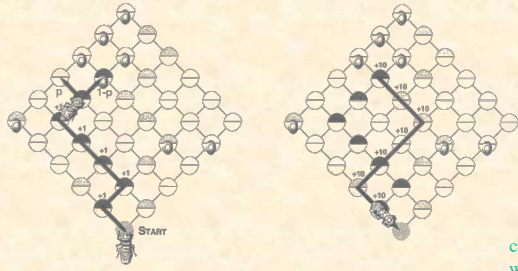

Foragement strategies

0 5m
Nid
Nid
Nid

Eciton hamatum
Eciton rapax
Eciton burchelli

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Foragement strategies

- When moving forward: lay down one pheromon unit (max 1000)
- When returning: 10 units (max 300)
- Evaporation: 1/30
- Probability of moving (p_m) and of choosing direction (p_l, p_r)
- Maximum 20 ants per site

$$p_m = \frac{1}{2} \left[1 + \tanh \left(\frac{\rho_l + \rho_r}{100} - 1 \right) \right]$$

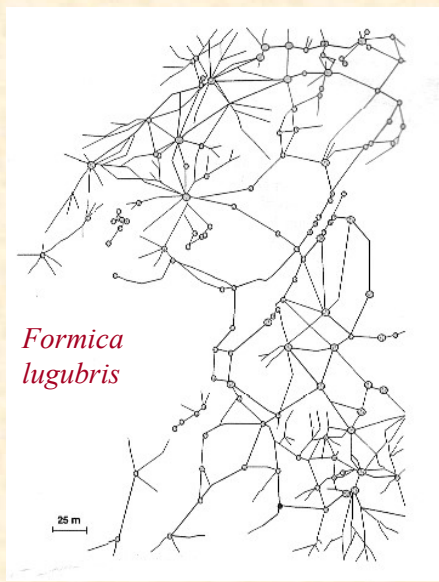
prob. of moving

$$p_l = \frac{(5 + \rho_l)^2}{(5 + \rho_l)^2 + (5 + \rho_r)^2}$$

prob. of choosing left

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Path search in a graph

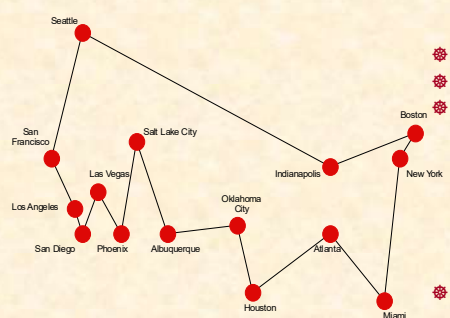


Formica lugubris

25 m

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Travelling salesperson and virtual ants



- N cities
- distance function d between cities
- Find a tour, so that
 - ⊕ (1) each city is visited once
 - ⊕ (2) total distance is minimum
- NP-hard problem
- Classical benchmarking problem for optimization methods

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- How can we use ants to solve the TSP?
 - A. *Send ants and selects those who visited all cities*
 - B. *Prevent ants from visiting the same city twice*

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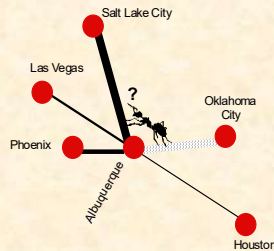
- How can we use ants to solve the TSP?
More pheromon on shorter circuits
 - A. *Because of fixed total amount of pheromon*
 - B. *Because of shorter travel time*

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- How can we use ants to solve the TSP?
 - A. *Ants rely on pheromons only*
 - B. *Ants are sensitive to city distances*

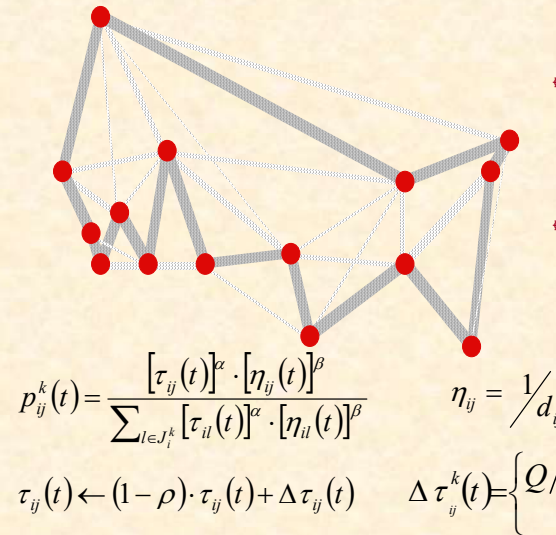
Travelling salesperson and virtual ants

- m agents, each one makes a tour
- memory of visited cities
- d_{ij} = distance between city i and city j
- τ_{ij} = virtual pheromon on link (i,j)
- When in city i , the probability of going from city i to city j is proportional to $(\tau_{ij})^\alpha (d_{ij})^{-\beta}$
- At the end of a tour of length L , each agent reinforces the links it went through with a quantity proportional to $1/L$
- Virtual pheromon evaporates : $\tau \rightarrow (1-\rho) \tau$



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Ant system



- ✿ Not only do the ants find a very good solution to the problem, they also maintain a pool of alternate solutions.
- ✿ In case a city or a link is added or disappears, the system can quickly reorganize itself and find a good solution to the new situation.

$$p_{ij}^k(t) = \frac{[\tau_{ij}(t)]^\alpha \cdot [\eta_{ij}(t)]^\beta}{\sum_{l \in J_i^k} [\tau_{il}(t)]^\alpha \cdot [\eta_{il}(t)]^\beta} \quad \eta_{ij} = 1/d_{ij}$$

$$\tau_{ij}(t) \leftarrow (1-\rho) \cdot \tau_{ij}(t) + \Delta \tau_{ij}(t) \quad \Delta \tau_{ij}^k(t) = \begin{cases} Q/L^k(t) & \text{si } (i,j) \in T^k(t) \\ 0 & \text{si } (i,j) \notin T^k(t) \end{cases}$$

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- **Role of pheromon evaporation**
 - A. *Avoids local optima*
 - B. *Avoids saturation*

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Other applications

The same method may be applied to any allocation problem

- ⊕ Traveling salesman problem
- ⊕ Quadratic assignment problem
- ⊕ Job-shop scheduling
- ⊕ Graph coloring
- ⊕ Vehicle scheduling

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AS-TSP : Traveling salesman problem

	Best tour	Average	Std. Dev.
Simulated Annealing	422	459.8	25.1
Tabu search	420	420.6	1.5
AS-TSP	420	420.4	1.3

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QAP: quadratic assignment problem

- Allocate n activities to n locations. $\pi(i)$: activity assigned to i .
- Find a permutation that minimizes a cost function by taking into account the flow of exchanges between activities

$$\pi_{opt} = \arg \min_{\pi \in \Pi(n)} C(\pi) \quad C(\pi) = \sum_{i,j=1}^n d_{ij} f_{\pi(i)\pi(j)}$$

	Nugent (7)	Nugent (12)	Nugent (15)	Nugent (20)	Nugent (30)	Elshafei (19)	Krarpup (30)
SA	148	578	1150	2570	6128	17937024	89800
TS	148	578	1150	2570	6124	17212548	90090
GA	148	588	1160	2688	6784	17640584	108830
ES	148	598	1168	2654	6308	19600212	97880
SC	148	578	1150	2570	6154	17212548	88900
AS-QAP	148	578	1150	2598	6232	18122850	92490
AS-LS	148	578	1150	2570	6146	17212548	89300
AS-SA	148	578	1150	2570	6128	17212548	88900

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QAP: quadratic assignment problem

Potential Vectors

$$d_i = \sum_{j=1}^n d_{ij} \quad f_h = \sum_{k=1}^n f_{hk} \quad E = \bar{d} \cdot \bar{f}^T$$

- An initial solution is constructed using the minimax rule:
The reminding location with lowest potential receives the reminding activity with highest potential.
- The ant algorithm is applied: it goes through locations with increasing potential, with:

$$\eta_{ij} = d_i \cdot f_j$$

$$\Delta \tau_{ij}^k = Q/C^k(t) \text{ if ant } k \text{ chose allocation } (i, j)$$

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Robustness and flexibility

- ✿ *Robustness* : A system is robust if it keeps functioning efficiently even if some of its constituent parts fail.
- ✿ *Flexibility* : A system is said to be flexible if it can efficiently function when external conditions change.

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Robustness and flexibility

- ✿ *Robustness* : For example, an assembly line is robust if production continues when a machine fails. Degree of Robustness: How many machines may break down without affecting production ?
- ✿ *Flexibility* : an assembly line is flexible if it can react to changing demands. Degree of flexibility : What is the reaction time, and what amount of fluctuation can it tolerate?

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Dynamics

- ✿ *Dynamicity*: change of the system's internal characteristics or change of external conditions.
- ✿ It is sometimes impossible to apply an exhaustive method fast enough. Optimization must be dynamic.
- ✿ Variations may be so rapid that optimization becomes less important than fulfilling the task.

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Optimization with artificial ants

Why does it work at all?

- ✿ Fundamental principle:
 - ✿ reinforcement of partial solutions
 - ✿ global dissipation.

- ✿ Other important principle: keep a distributed trace of past exploration. Distributed memory of alternate solutions.

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Similar approaches

- ✿ Neural networks
- ✿ Population-based incremental learning PBIL (Baluja & Caruana 1995)
- ✿ Bit-based simulated crossover (Syswerda 1993)
- ✿ Mutual Information Maximization for Input Clustering MIMIC (De Bonet et al. 1997)
- ✿ Bayesian Networks

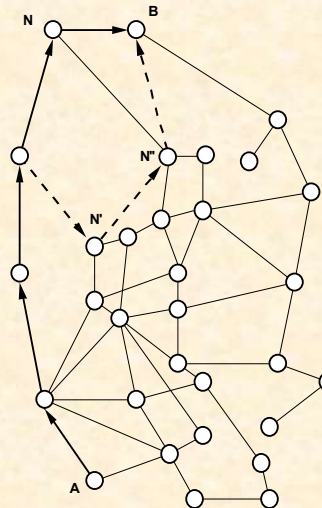
Routing in telephone networks

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- ✿ Routing : Device that processes the next direction of a message at a node of the network
- ✿ Messages should reach their destination
- ✿ Time needed to go from the source to the destination must be kept minimal
- ✿ Characteristics of the traffic change constantly: routing must adapt

Why routing ?

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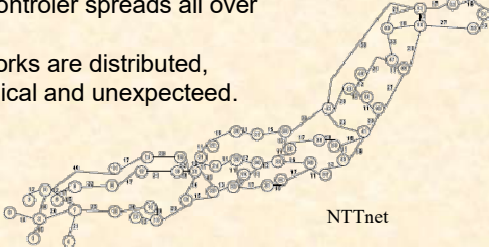


If node A sends a message to node B, the message has to go through a set of intermediate nodes because A and B are not directly connected. One possible shortest path for the message is the one indicated by thick lines and arrows, which takes the message from A to B in 5 steps. If, however, node N breaks down or is highly congested, the message needs to be rerouted dynamically toward a slightly longer route that goes through nodes N' and N''. Although it now takes 6 hops for the message to be transmitted from A to B, the actual transmission time will be reduced and the message will be less likely to be lost.

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Routing

- ✿ Switching nodes hold routing tables that direct messages to other nodes depending on their final destination.
- ✿ Routing tables are regularly updated by a centralized mechanism:
 - Requires centralization and increases traffic
 - Maladapted to large networks
 - Failure at the central controller spreads all over the network
 - Communications networks are distributed, spatially extended, dynamical and unexpected.



NTTnet

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- ✿ How can ants be used in a communication network?
 - A. *Messages play the role of ants and lay down "pheromon".*
 - B. *Ants are auxiliary messages informing about their origin.*

Ants in the network!

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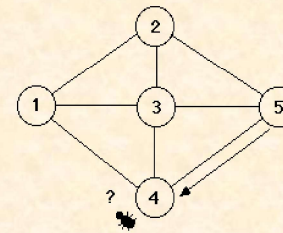
- ✿ Ant agents are launched in the network.
- ✿ An agent updates routing tables *by considering its source as a destination*.
 - ⊕ "If you are going to my source, go first to the node I am coming from (if I am 'young' enough)"
 - ⊕ Or "Don't go there (if I am old)".
- ✿ Its influence diminishes with "age".
- ✿ Agents are made artificially older at overload nodes.



Ants in the network!

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Example of network and of routing table.



Messages, contrary to ants, travel in the network deterministically, always following highest probability.

		Destination nodes			
		1	2	3	5
Neighbor nodes	1	0.8	0.3	0.1	0.1
	3	0.1	0.4	0.8	0.1
	5	0.1	0.3	0.1	0.8

Probability of directions for an ant going to node 2.

Probabilities updated by an ant coming from node 5.

demo

Ants in the network!

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Schoonderwoerd et al. (1996)

$r_{n,d}^i(t)$ Probability, at node i , when heading to node d , of choosing n as next node.

$$r_{n_0,s}^i(t+1) = \frac{r_{n_0,s}^i(t) + \delta r}{1 + \delta r}$$

$$r_{n,s}^i(t+1) = \frac{r_{n,s}^i(t)}{1 + \delta r}, \quad n \neq n_0$$

$$\delta r = \frac{a}{T} + b \quad \text{T: ant's age}$$

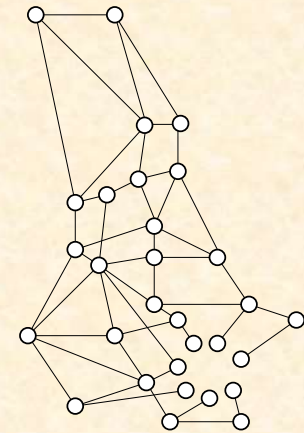
$$D = c \cdot e^{-d \cdot S} \quad \begin{array}{l} \text{D: delay;} \\ \text{S: remaining capacity of the node} \end{array}$$

Model network

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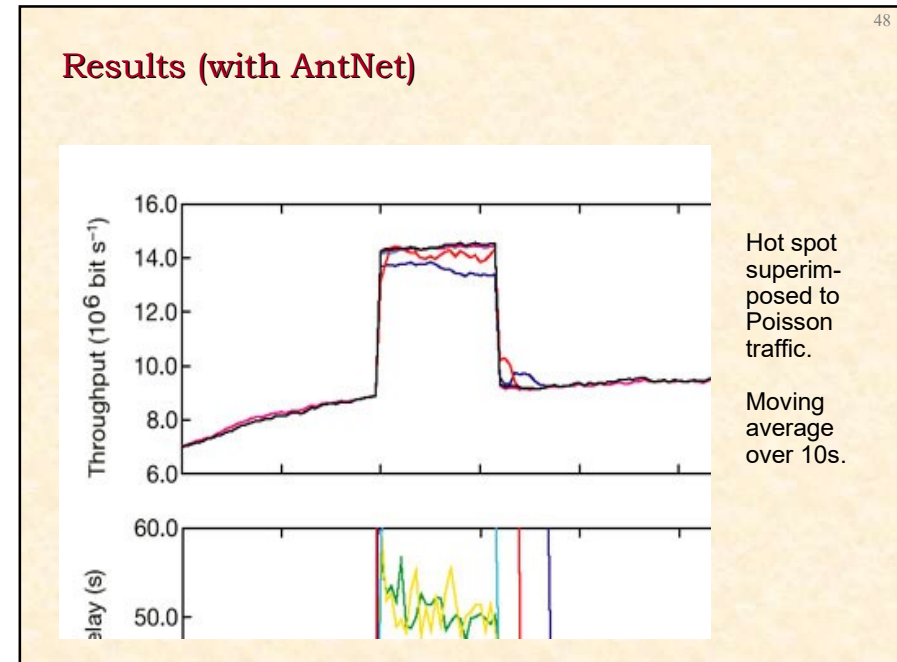
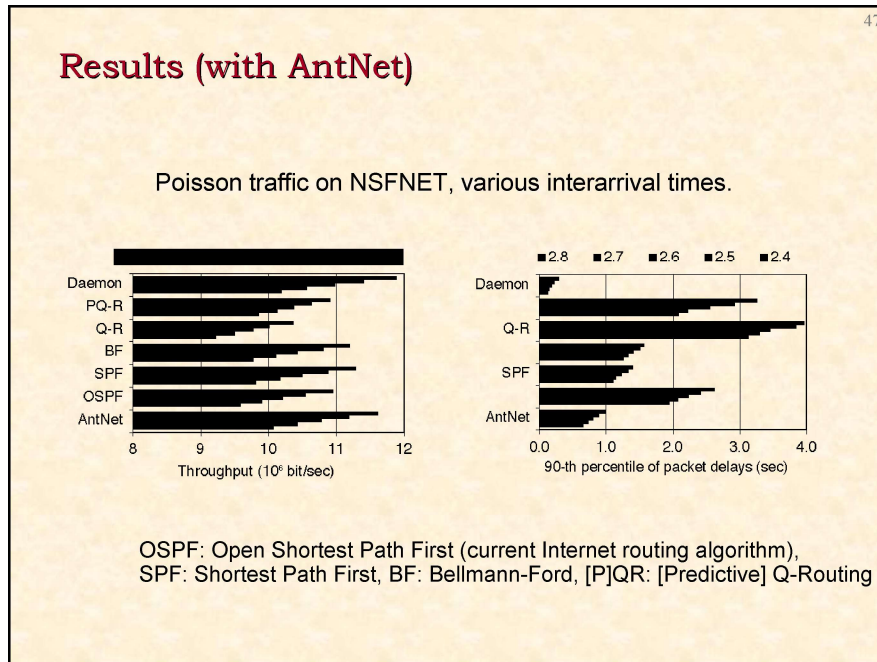
Model network used in simulations: BT interconnection network.

(Little game: where do you think London is?)



Performance of ABC (ant-based control):

	Average call failures	Std. Dev.
Shortest path	12.57	2.16
Mobile agents	9.19	0.78
Improved mobile agents	4.22	0.77
ABC without noise	1.79	0.54
ABC with noise	1.99	0.54



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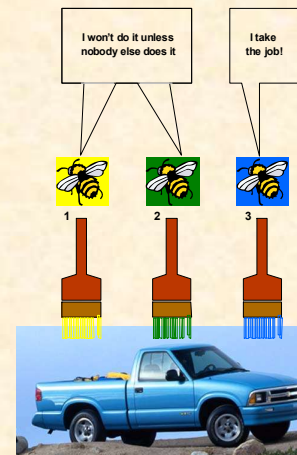
Division of labor

When cleaning services are on strike, could we imagine that senior executives clean the litter?

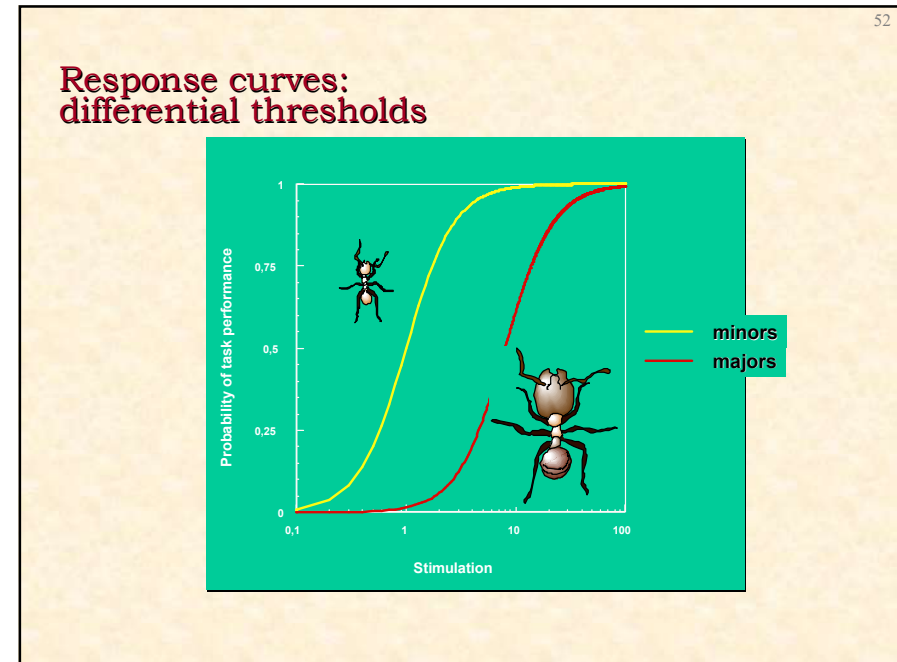
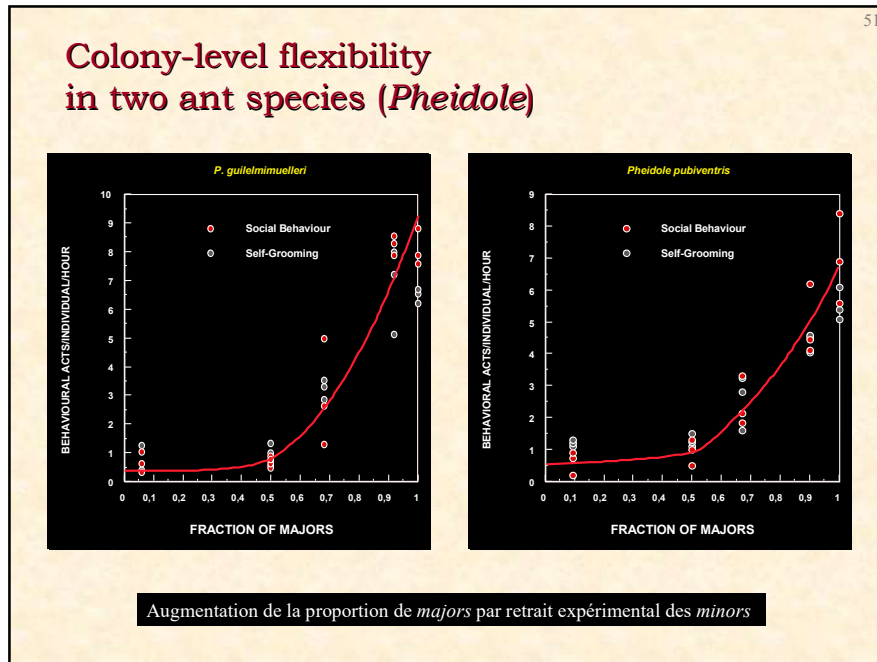
- A. *Introduce a small probability for them to do so.*
- B. *Let them do so systematically, but with a higher threshold.*

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From division of labor to scheduling



- *Scheduling technique inspired by task allocation in a honeybee colony: individual bees are specialized in certain tasks, which depend on their age, but they can perform other tasks if needed. For example, a nurse bee can become a forager bee if there is not enough food coming into the hive.*
- *Our assumption is that a bee performs the tasks for which it is specialized unless it perceives that other tasks badly need to be performed.*
- *To allocate trucks coming out of an assembly line to paint booths in a truck factory, each paint booth is considered an artificial bee specialized in one color. But if needed, the paint booth can change its color (though it's costly).*
- *The system minimizes paint changes and can cope with glitches.*



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Model

Probability of performing the task for a stimulus s :

$$T_{\theta}(s) = \frac{s^n}{s^n + \theta^n}$$

$$T_{\theta}(s) = 1 - e^{-s/\theta}$$

ρ = prob. of encountering an item

$$P(N) = 1 - (1 - \rho)^N = 1 - e^{N \ln(1 - \rho)}$$

$n = 2$

θ (task 1, major) = 8

θ (task 1, minor) = 1

$P_{(\text{active} \rightarrow \text{inactive})} = 0.2$ (per time step)

$\text{stimulus}_{(t+1)} = \text{stimulus}_{(t)} + (1 - (3 \frac{N_{(\text{active})}}{N_{(\text{population})}}))$

plot *seuils*

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- How can we account for different thresholds in non-polymorphic species
 - A. *age-based polymorphism*
 - B. *performing task lowers threshold*

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Threshold reinforcement

Fixed thresholds cannot account for genesis of specialization in non-polymorphic species.

Although tasks are eventually completed when the system is perturbed, there may be an irreversible degradation of the system's performance: stimulus intensity remains high.



Threshold reinforcement: the more an agent performs a task, the lower its response threshold. New specialists can be generated in response to perturbations.

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Threshold reinforcement: application

Mail retrieval in a city:

- N agents
- City divided into zones
- Each agent has response thresholds for all zones
- Agent responds to demand from a zone when stimulus exceeds threshold
- Current working zone's threshold is reinforced, as well as neighboring zones' thresholds. All other thresholds decay

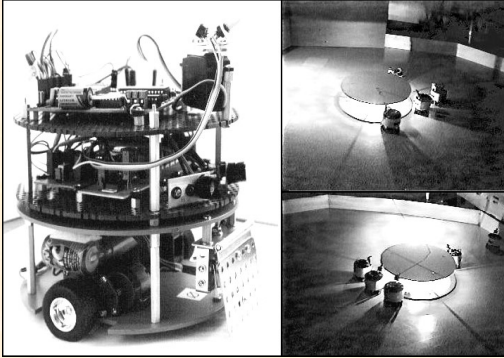


Specialization and robustness

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Cooperative transport

- Observed in several ant species: a single ant cannot retrieve a large prey, nestmates are recruited to help. Then, during an initial period of up to several minutes, the ants change position and alignment around the prey without making progress, until eventually the prey can be moved toward the nest.
- Ron Kube and Hong Zhang have reproduced this emergent coordination with a swarm of very simple robots. Videotaped experiments worth viewing at <http://www.cs.ualberta.ca/~kubel/>.
- Not the most efficient way of pushing a box. But, because of the simplicity of the robots, promising in the perspective of miniaturization and low-cost robotics.

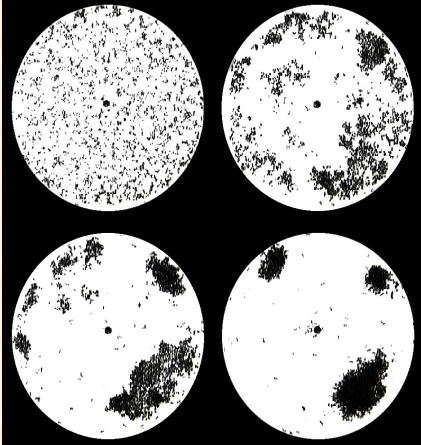


Réunion-ants1
Réunion-ants2

Box pushing

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Cemetery formation in *Messor sancta*



- Workers form piles of their dead nestmates' corpses –literally cemeteries– to clean up their nests.
- If corpses are randomly distributed in space at the beginning of the experiment, the workers form clusters within a few hours (figure shows the initial state with 1500 corpses, 2 hours, 6 hours, and 26 hours after the beginning of the experiment).
- Small clusters of items grow by attracting workers to deposit more items.
- Brood sorting follows same type of logic: an ant picks up and drops an item according to the number of similar surrounding items.

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How can we bring ants to sort objects?

- A. *Pick object when isolated*
- B. *Drop object when other objects in the vicinity*
- C. *Push objects until they collide into another*

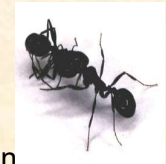
60

Clustering in ants

- ✿ An isolated item is more likely to be picked up by an unladen agent:

$$P_p = [k_1 / (k_1 + f)]^2$$

where f = density of items in neighborhood



- ✿ A laden agent is more likely to drop an item near to other items:

$$P_d = [f / (k_2 + f)]^2$$

plot

probabilities

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Cemetery formation in *Messor sancta*

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From clustering to sorting

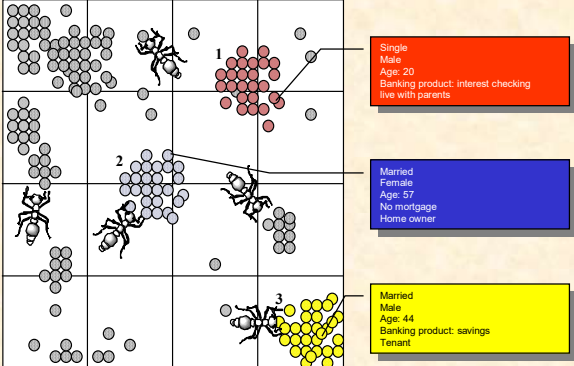
- ✿ The same principle can be applied to sort items of several types ($i=1, \dots, n$).
- ✿ f is replaced by f_i , the fraction of type i items in the agent's neighborhood:

$$P_p(i) = [k_1 / (k_1 + f_i)]^2$$

$$P_d(i) = [f / (k_2 + f_i)]^2$$

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From brood sorting to data analysis



- Artificial ants move around and pick up and drop "clients" according to how many similar clients there are in the neighborhood.
- The measure of how similar two clients are is based on a natural distance for each of the attributes. For example, for attributes such as marital status or gender, a similarity value of 1 is assigned to pairs having the same value of the attribute, and a value of 0 to pairs with different values. For age, the smaller the age difference the higher the similarity.
- Emergent clusters obtained and visualized.

Single
 Male
 Age: 20
 Banking product: interest checking
 live with parents

Married
 Female
 Age: 57
 No mortgage
 Home owner

Married
 Male
 Age: 44
 Banking product: savings
 Tenant

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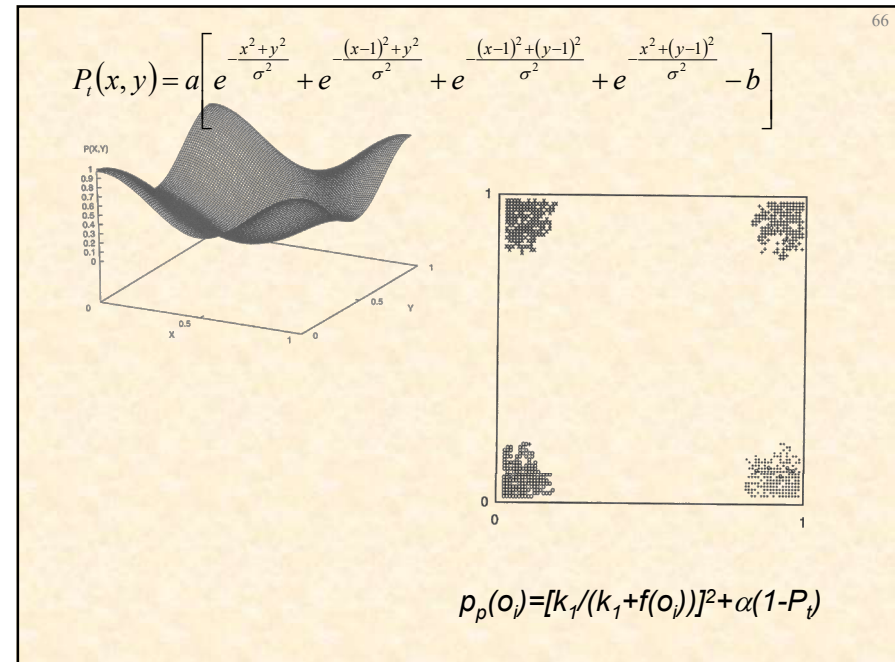
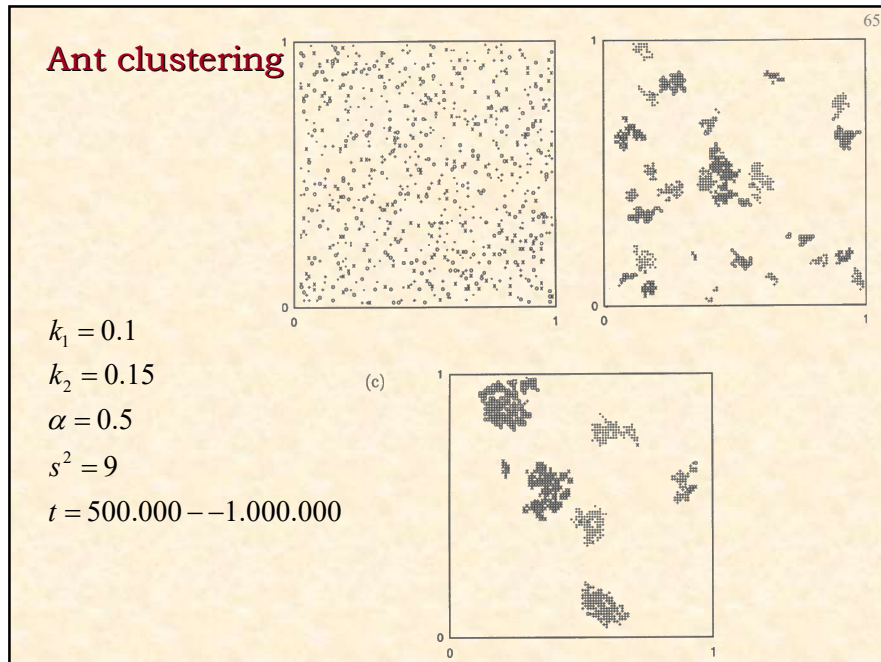
From sorting to data analysis

- If items are described by real-valued attributes (points in \mathbb{R}^n), the same principle can still be applied: f is now replaced by a normalized distance between the item carried by the agent and items in the agent's neighborhood.

$$f(o_i) = \begin{cases} \frac{1}{s^2} \sum_{o_j \in \text{Neigh}_{(s, s)}(r)} \left[1 - \frac{d(o_i, o_j)}{\alpha} \right] & \text{if } f > 0 \\ 0 & \text{otherwise} \end{cases}$$

⇒ Items will end up being next to items with close attributes.

α contrôle la discrimination entre objets



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Graph unfolding

- ✳ Same method can also be applied to graph drawing. Complex networks of relationships arise in many contexts and can often be represented as graphs. Drawing a graph in the plane facilitates interpretation by observer.
- ✳ Vertices in a graph have attributes: the vertices they are connected to. A good distance between vertices is the number of adjacent vertices they have in common.
- ✳ Example: random graphs with clusters.

dépliement

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Graph unfolding

$$f(v_i) = \begin{cases} \frac{1}{s^2} \sum_{v_j \in \text{Neigh}_{(s,s)}(r)} \left[1 - \frac{d(v_i, v_j)}{\alpha} \right] & \text{if } f > 0 \\ 0 & \text{otherwise} \end{cases}$$

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Graph unfolding

Which distance use to unfold a graph?

- A. #common neighbours / #neighbours
- B. # different neighbours / #neighbours

(a)

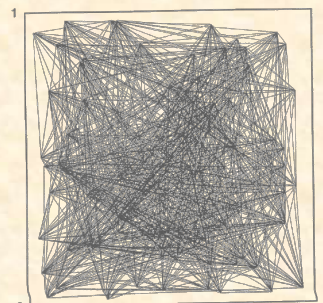
70

Graph unfolding

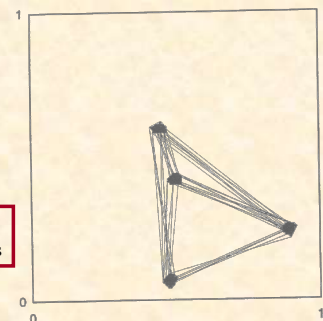
$$f(v_i) = \begin{cases} \frac{1}{s^2} \sum_{v_j \in \text{Neigh}_{(v_i)}(r)} \left[1 - \frac{d(v_i, v_j)}{\alpha} \right] & \text{if } f > 0 \\ 0 & \text{otherwise} \end{cases}$$

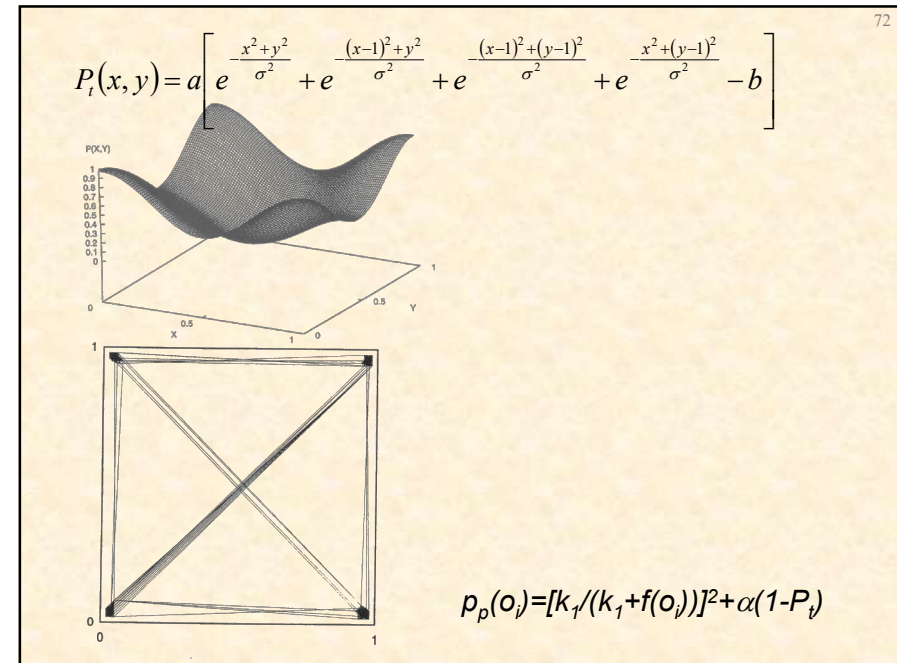
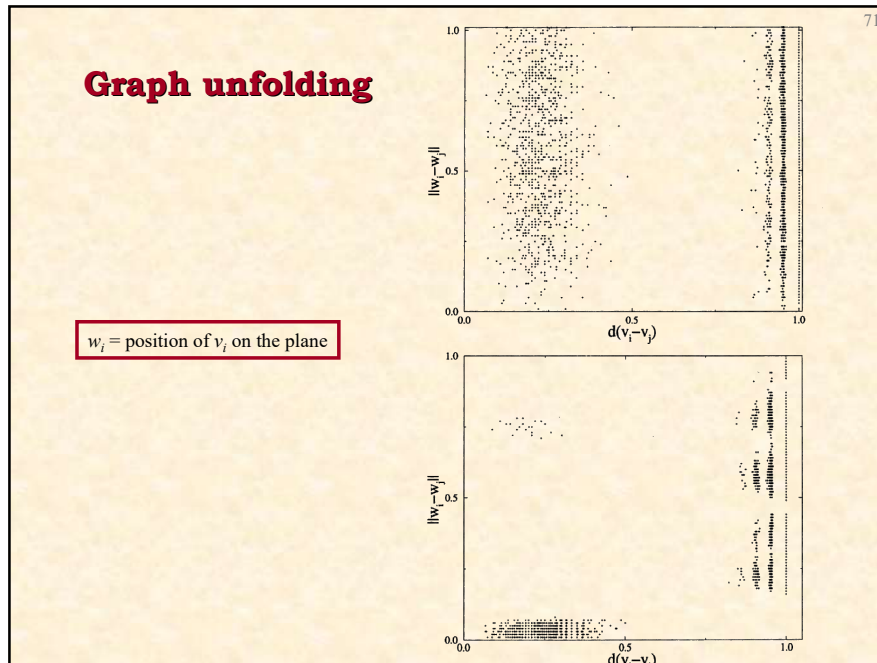
$$d(v_i, v_j) = \frac{|\Delta(\rho(v_i), \rho(v_j))|}{|\rho(v_i)| + |\rho(v_j)|}$$

partitioning of a random graph
 $\Gamma(25, 4, 0.8, 0.01)$ with 25 vertices and 4 clusters



(b)





Stigmergy

73

Theraulaz, G., Bonabeau, E. & Deneubourg, J.-L. (1998). [The origin of nest complexity in social insects.](#) *Complexity*, 3 (6), 15-25.

Diffusion

74

$$\partial_t H = -k H + D_H \nabla^2 H$$

Reaction-diffusion model of the royal chamber construction

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$H(r, t)$ Pheromon concentration in r at time t

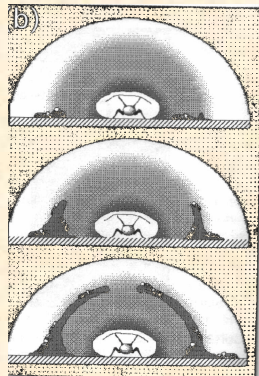
P quantity of active material

C density of laden termites

Φ laden termite entering flow

$$T(x, y) = e^{-\left[\left(\frac{x-x_0}{\lambda_x}\right)^2 + \left(\frac{y-y_0}{\lambda_y}\right)^2\right]} \quad \text{template}$$

Macrotermes subhyalinus



Bonabeau, E., Theraulaz, G., Deneubourg, J.-L., Franks, N. R.,
Rafelsberger, O., Joly, J.-L. & Blanco, S. (1998).
[A model for the emergence of pillars, walls and royal chambers in termite nests.](#)
Philosophical Transactions of the Royal Society B, 353 (1375), 1561-1576.

Reaction-diffusion model of the royal chamber construction

76

$H(r, t)$ Pheromon concentration in r at time t

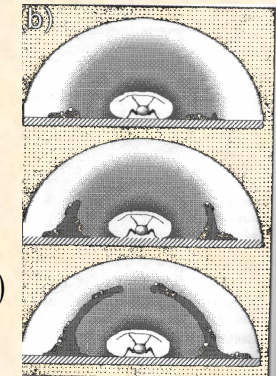
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$$T(x, y) = e^{-\left[\left(\frac{x-x_0}{\lambda_x}\right)^2 + \left(\frac{y-y_0}{\lambda_y}\right)^2\right]} \quad \text{template}$$

Macrotermes subhyalinus



$$\partial_t H = k_2 P - k_4 H + D_H \nabla^2 H$$

$$\partial_t C = \Phi - k_1 C + D_C \nabla^2 C - \mathcal{N}(C \nabla H) - v \nabla(C \nabla T)$$

$$\partial_t P = k_1 C - k_2 P$$

Leptothorax albipennis 77

Self-organization in the presence of templates

U : density of unladen ants
L : density of laden ants
S : grain density
P(r) : influence of the template to pick grain
P(r)F(S)US : transition rate $U \rightarrow L$
F(S) : $(g_1 + g_2 S)^{-1}$ perception of grain density by ants

D(r)G(S)L(1-S/K) : transition rate $L \rightarrow U$
D(r) : influence of the template to drop grain
K : max. density
G(S) : $(g_1 + g_2 S)$ ant's perception

$$\partial_t S = D(r)G(S)L\left(1 - \frac{S}{K}\right) - P(r)F(S)SU$$

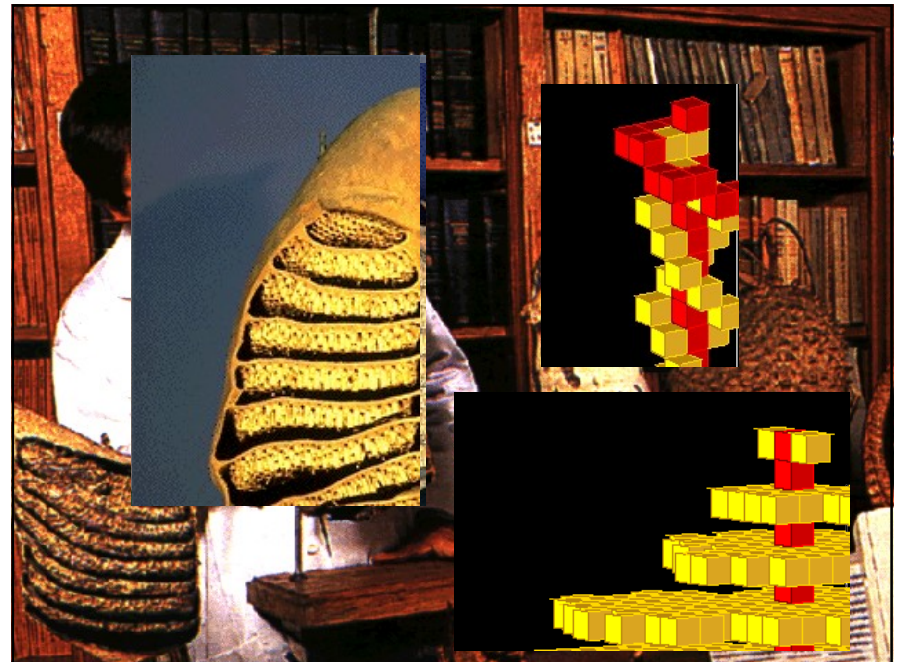
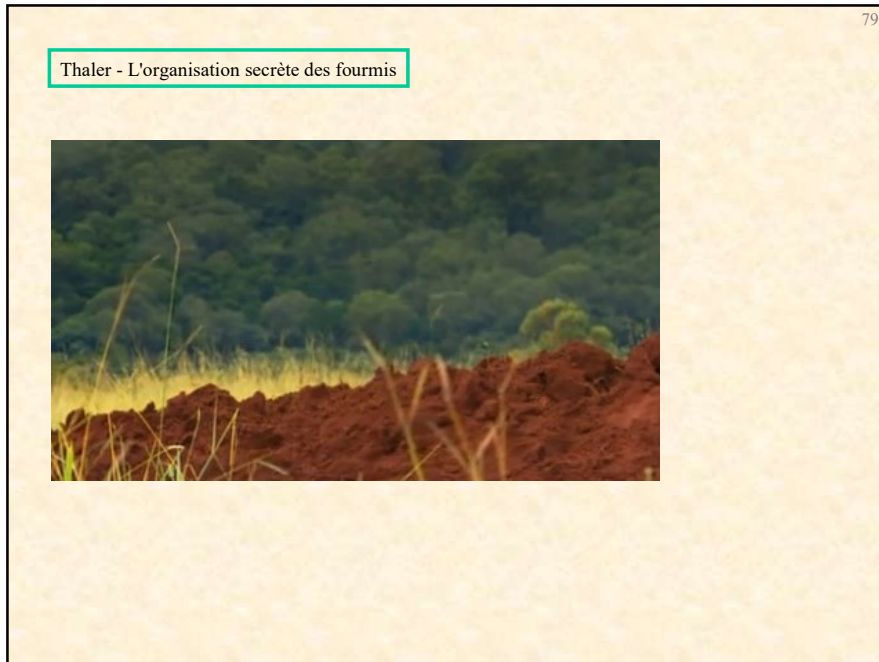
Morphogenesis

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Turing

D mo

d'Arcy



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Wasp nest building and self-assembly

From a model
of wasp nest
building to self-
assembly

See also: [Wyss Institute](#)
[Termites inspire robot builders1](#)
[Termites inspire robot builders2](#)

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Model of Building in Social Wasps


- ✿ Agents move randomly on a 3D grid of sites.
- ✿ An agent deposits a brick every time it finds a stimulating configuration.
- ✿ Rule table contains all such configurations. A rule table defines an algorithm.
- ✿ Rule space is very large.

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Simulation model of wasp building

- ✿ Most algorithms generate structureless shapes.
- ✿ But some produce "structured" architectures.
- ✿ Structured architectures:
 - Usually modular
 - Most complex patterns have large modules
 - Produced by specific algorithms
 - Convergence to similar shape in all runs
 - Compact
 - Take time to generate

✿ Stimulating configurations corresponding to different building stages must not overlap



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Genetic algorithm to explore rule space

Some of the characteristics of "structured" architectures can be formalized (graph associated with the building process) and quantified.

Quantification is useful to define a fitness function. Heuristic fitness correlates well with observers' notion of structure. A GA has been run with this fitness.

