

# Why altruism?

## Social emergence in complex systems

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November 12, 2021



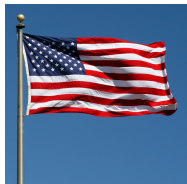
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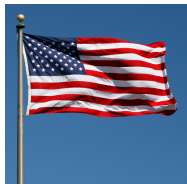
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# Altruism and social life



*La Liberté guidant le peuple*, Delacroix,  
1830

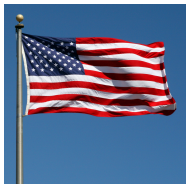
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"Daily plebiscite" [Renan, 1882]

# Altruism and social life

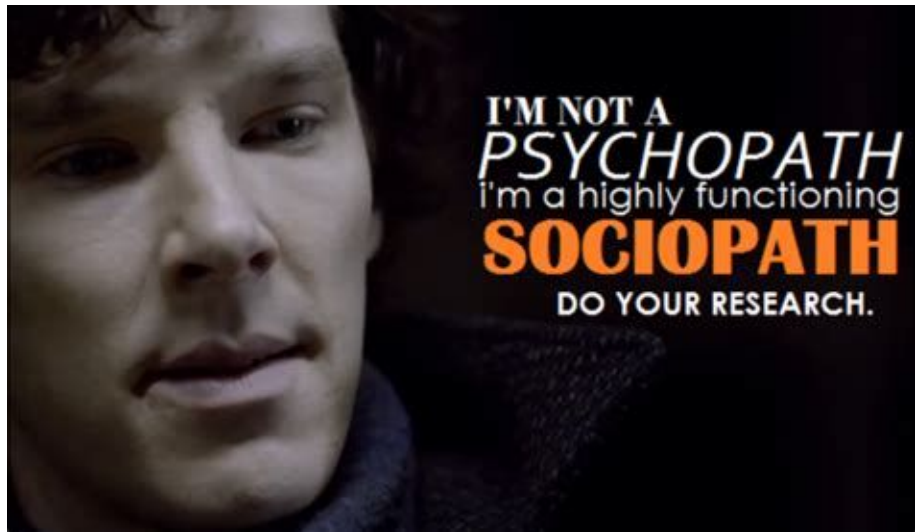


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Thanks the tireless (often unpaid) work of some, the internet is an incredible source of knowledge

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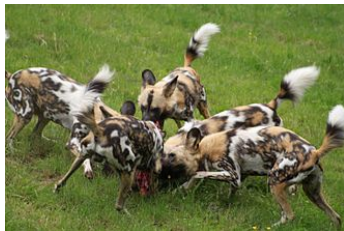
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# Pack hunting



# Pack hunting

**Assumptions** (public good):

Collectively shared benefit:

$$B(x_1, x_2, \dots) = \frac{\sum_{j=1}^n b * x_j}{n}$$

Quadratic individual cost:

$$C = \frac{1}{2}c * x^2$$



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Total payoff if I invest  $x$  and others  $x_0$ :

$$F(x, x_0^{-1}) = \frac{b \cdot x + (n-1)b \cdot x_0}{n} - \frac{1}{2}c \cdot x^2$$

Optimal investment:

$$x^* = \frac{1}{n} \cdot \frac{b}{c} > 0$$

*Collective hunting with effort  $x^*$  is optimal for each dog*

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## Definition (biology)

In the previous example, each dog obtains:

$$\frac{bn \times \left(\frac{1}{n} * \frac{b}{c}\right)}{n} - \frac{1}{2}c \times \left(\frac{1}{n} * \frac{b}{c}\right)^2 = \frac{1}{n} \frac{b^2}{c} \left(1 - \frac{1}{2n}\right) > 0$$

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An individual engages in **altruistic behavior** if:

- the behavior is costly to the individual:  $-C$
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Natural selection: why should altruistic behavior evolve?



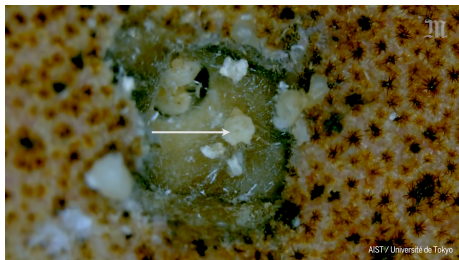
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# Examples of non-human altruism (?)



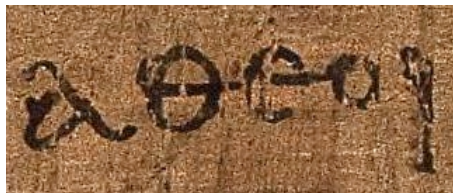
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# The tragedy of the commons



Koine Greek



Wikipedia



Yosemite, USA



Open source initiative

# The tragedy of the commons and (biological) altruism

$$x^* = \frac{1}{n} \frac{b}{c} \xrightarrow{n \rightarrow \infty} 0$$

Tragedy of the commons [Hardin, 1968]



# The tragedy of the commons and (biological) altruism

$$x^* = \frac{1}{n} \frac{b}{c} \xrightarrow{n \rightarrow \infty} 0$$



Tragedy of the commons [Hardin, 1968]

A largely-shared public good can only be stable if (many) individuals invest  $x > x^*$ , hence more than they get back:

$$c \times x = C > B = \frac{b \times \sum_{i=1}^n x_i}{n} \quad (1)$$

Individuals who invest  $x > x^*$  display **altruistic** behavior

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# Nash equilibrium

Game theory: bag of analytical tools to help understand the phenomena that we observe when decision-makers interact  
[Osborne and Rubinstein, 1994]

Assumptions:

- *rational* decision-makers
- who reason *strategically*

$$F(x, x_0^{-1}) = \frac{b \cdot x + (n-1)b \cdot x_0}{n} - \frac{1}{2}c \cdot x^2$$

# Nash equilibrium

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→ *Framework for studying emergence from individual optimization*

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**Nash equilibrium**: steady state of the strategic game (best reply to itself)

For two players 1 and 2 with identical strategy set  $\mathcal{S}$ , a strategy  $S \in \mathcal{S}$  is Nash if both perform worse when shifting to another strategy  $T$ :

$$\forall i \in \{1, 2\}, \forall T \in \mathcal{S}, \pi_i(S, S) \geq \pi_i(T, S)$$

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## Bonus slides: normal form game

Example: Prisoner's dilemma (if we have the time — otherwise tomorrow).

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# Back to the tragedy of the commons

- Hunting: investing effort  $x^*$  is Nash
- Wikipedia: contributing is not Nash





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→ *Collective benefit is not an explanation !* (tautological)



???

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Wait a minute:

- We (sometimes) behave altruistically
- Nash equilibria emerge from individual cost-benefit analyses

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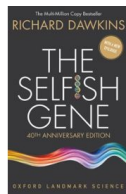


→ *Collective benefit is not an explanation ! (tautological)*

Wait a minute:

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→ OK perhaps, but *evolution favours "selfish" genes*



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# Evolutionary game theory

→ *Emergence of collective behavior due to evolution*

Basic principles ("replicator dynamics"):

- individuals in a biological population are characterized by different strategies
- they play the game
- they reproduce according to payoffs obtained in the game ("fitness")
- strategy is inherited
- alternative strategies may occasionally arise due to mutation

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**Evolutionary Stable Strategy** (ESS): Nash equilibrium that can't be invaded by mutants.  $S \in \mathcal{S}$  is an ESS iff:

- $S$  is a Nash equilibrium
- Every other best reply to  $T \neq S$  satisfies:  $\pi(T, S) > \pi(T, T)$

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# Tree height

Why are trees so tall?

- Trees feed off sunlight (photosynthesis)  
—  $-B$
- Maintaining a long trunk is costly (risk of breaking, pumping water...) —  $-C$



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To remember: reasoning in terms of (potential) ESS and **mutants**

NB: Trunks amount for a huge amount of the biomass

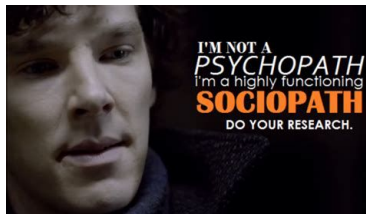


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# Evolutionary game theory and altruism

Tomorrow: when is altruism an ESS? E.g. when will contributing more than  $x^*$  be *selected*?





:)

# Evolutionary game theory and altruism

Tomorrow: when is altruism an ESS? E.g. when will contributing more than  $x^*$  be *selected*?



Bonus slides: back to the normal form game (Prisoner's Dilemma)

-  Hardin (1968).  
The Tragedy of the Commons.  
*Science*, 162(3859):1243–1248.
-  Osborne, M. J. and Rubinstein, A. (1994).  
*A course in game theory*.  
MIT Press, Cambridge, Mass.